



Capstone Hires General Counsel & Chief Compliance Officer

For Immediate Release

Houston, TX, June 20, 2017 --- Capstone Financial Services, Inc., announced today that Mr. Jim Coppedge has joined the firm as General Counsel and Chief Compliance Officer. Mr. Coppedge will oversee all legal, compliance and risk management programs and report directly to Mr. Michael L. Kern, III, CFA, President & CEO of Capstone.

Over the last 25 years, Mr. Coppedge has held positions of increasing areas of responsibility, most recently having served as Deputy General Counsel at American International Group, Inc., a global insurance corporation with more than 88 million customers. While there, he spent eight years leading a team of legal and compliance professionals including lawyers, compliance managers and auditors, SEC filing specialists, and legal assistants. As Deputy General Counsel, he served the company's VALIC, Western National, and AIG Bank businesses as well as their affiliated broker/dealers, investment advisors, and customer service operations.

“Jim is an expert in the areas of securities laws and regulatory compliance,” said Mr. Kern, “and his extensive leadership experience will just add to the outstanding executive team that is already in place at Capstone. Our goal is to grow to \$30 billion in assets under management over the next few years, and in order to achieve that objective we need senior professionals whose experience and judgment were forged at much larger organizations. Jim fits the bill perfectly and we are very excited to have him on our team.”

Prior to AIG, Mr. Coppedge spent eight years as Associate General Counsel for Invesco, LTD. and AIM Investments in Houston, Texas, where his vast experience included leading a team that provided legal and compliance services to the North American Retail Group.

“I am excited to be part of a team that has such clarity as to its vision, mission and values,” Mr. Coppedge said. “Mike is committed to excellence in all that we do, including the products we offer, the way we interact with our customers, and the environment we create for our employees. His leadership style pairs well with his audacious goals for the firm, and I am eager to partner with him and the rest of the team on this incredible journey.”

Capstone, founded in 1987 and headquartered in Houston, Texas, is a leader in values-based investing. The firm manages just under \$5 billion in equity, fixed income, and balanced accounts for clients nationwide. Additionally, Capstone is the exclusive manager of the Steward Funds, which is a fund family that applies an overarching values-based screening methodology to its suite of equity and fixed income funds, including its award-winning Global Equity Income Fund – named Lipper’s “Best Global Equity Income Fund” for the last three consecutive years.

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